

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE
(REV. 12-2004)

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

101016-00002

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/552691INTERNATIONAL APPLICATION NO.
PCT/IB2004/001102INTERNATIONAL FILING DATE
08 April 2004PRIORITY DATE CLAIMED
11 April 2003

TITLE OF INVENTION

Recovery of Platinum Group Metals

APPLICANT(S) FOR DO/EO/US

Alan Bax, Grenvil Marquis Dunn and John Derek Lewins

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☒ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 23 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. **EV623708244US**
23. ☒ Other items or information:

Unexecuted Declaration and Power of Attorney

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO (if known, see 37 CFR 1.53)		INTERNATIONAL APPLICATION NO. PCT/IB2004/001102		ATTORNEY'S DOCKET NUMBER 101016-00002	
24. The following fees are submitted:				Applicant use	Office use
<input checked="" type="checkbox"/> a) Basic national fee \$300.00				\$ 300.00	
<input checked="" type="checkbox"/> b) Examination fee \$200.00				\$ 200.00	
<input checked="" type="checkbox"/> c) Search fee \$500.00				\$ 500.00	
TOTAL OF ABOVE CALCULATIONS = \$1000.00				\$ 1,000.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)	RATE		
- 100 =	/50 =		x \$250.00	\$ 0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	17 - 20 =	0	x \$50.00	\$ 0.00	
Independent claims	1 - 3 =	0	x \$200.00	\$ 0.00	
MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00				\$ 0.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 1,130.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ 0.00	
SUBTOTAL =				\$ 1,130.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ 0.00	
TOTAL NATIONAL FEE =				\$ 1,130.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +				\$ 0.00	
TOTAL FEES ENCLOSED =				\$ 1,130.00	
Amount to be refunded:					\$
Amount to be charged:					\$
<p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>503571</u> in the amount of <u>\$1,130.00</u> to cover the above fees. A duplicate copy of this sheet is</p> <p>c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>503571</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>					
<p>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.</p> <p>SEND ALL CORRESPONDENCE TO:</p> <p>Michael R. Friscia McCarter & English, LLP Four Gateway Center 100 Mulberry Street Newark, NJ 07102</p>					
				SIGNATURE	
				Michael R. Friscia	
				NAME	
				33,884	
				REGISTRATION NUMBER	

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10/552691

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ATTORNEYS AT LAW

October 11, 2005

Mail Stop PCT
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Customer No.: 27614

File No.: 101016-00002
 Inventor(s): Alan Bax, Grenvil Marquis Dunn and John Derek Lewins
 Title: Recovery of Platinum Group Metals
 Express Mail Label No.: EV 623708244 US

Sir:

Enclosed herewith please find the following documents in the above-identified application for Letters Patent of the United States:

X Transmittal Letter to the United States Designated/Elected Office
 (DO/EO/US) Concerning a Filing Under 35 USC 371 (Form PTO-1390)
X A copy of the Published International Application (44 pages including FIGS. 1-13)
X A copy of the International Search Report (PCT/ISA/210)
X Preliminary Amendment
X Unexecuted Declaration and Power of Attorney
X Transmittal Letter
X Return-addressed Postcard

Basic National Fee	\$ 300.00
Additional Fees:	
Search Fee	\$ 500.00
Examination Fee	\$ 200.00
Total number of claims (including multiple dependent claims) <u>17</u>	
Total number of claims in excess of 20, times \$50	\$ 0.00
Number of independent claims <u>1</u>	
Number of independent claims minus 3, times \$200	\$ 0.00
Fee for multiple dependent claims (\$360)	\$ 0.00
Fee for each additional 50 sheets exceeding 100 (\$250)	\$ 0.00
Surcharge of \$130 for furnishing the oath or Declaration later than 30 months	\$ 130.00

from the earliest claimed priority date (37 CFR 1.492(e))

SUBTOTAL \$ 1,130.00

Applicant claims Small Entity Status. See 37 CFR 1.27. The fees indicated above are reduced by ½.

TOTAL FILING FEES: \$ 1,130.00

Commissioner for Patents
October 11, 2005
Page 2
Customer Number 27614
Express Mail Label No. EV623708244US

JCO5 Rec'd PCT/PTO 11 OCT 2005

10/552691

 McCARTER
ENGLISH


PRIORITY CLAIM:

This is U.S. National Phase Application under 35 U.S.C 371 of International Application No. PCT/IB2004/001102 filed April 8, 2004, and published on October 21, 2004, in the English language under International Publication Number WO 2004/090178 A1, which claims the benefit of PCT/AU03/00435 filed April 11, 2003 and South Africa Application No. 2003/5682 filed July 23, 2003.

Please charge Deposit Account No. 503571 in the amount of \$1,130.00 to cover the fees associated with this filing. The Commissioner is also authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 503571. A duplicate copy of this letter is enclosed for such purposes.

Respectfully submitted,

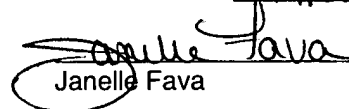
McCARTER & ENGLISH, LLP


Michael R. Friscia
Reg. No. 33,884

MRF/jf
Enclosures

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service, postage prepaid, as "Express Mail Post Office to Addressee", Mailing Label No. EV623708244US to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 10/11/05.

 10/11/05
Janelle Fava (date)